



**INFORMATION DISCLOSURE STATEMENT PURSUANT TO**  
**37 C.F.R. §§1.97-1.99**

*PATENT APPLICATION*

Applicant: McClain, et al.

Docket No.: ROWL-9955

FOR: METHOD AND APPARATUS FOR PRODUCING AN AQUEOUS PAINT  
COMPOSITION FROM A PLURALITY OF PREMIXED COMPOSITIONS

Commissioner for Patents  
Mail Stop 313(c)  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.99, Applicant(s) hereby notifies the U.S. Patent and Trademark Office of the documents listed on the attached Form PTO-1449. One copy of each cited document is submitted herewith. Applicant respectfully submits that all pending claims are patentable over the foregoing references, alone or in combination. The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Further, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. Furthermore, the submission of this Information Disclosure Statement is not to be

construed as a representation that a search has been made or that no other material information may exist.

Respectfully submitted,

By Kenneth C. Booth

Date: October 7, 2003

Enclosures: PTO-1449  
Patent copies

ATTY. DOCKET NO.  
ROWL-9955

SERIAL NO.

**INFORMATION DISCLOSURE  
CITATION**

APPLICANT  
McClain, et al.

FILING DATE

GROUP

(Use several sheets if necessary)

**U. S. PATENT DOCUMENTS**

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
		4,243,430	01/1981	Sperry, et al.			
		4,403,866	09/1983	Falcoff, et al.			
		4,436,845	03/1984	Kitano			
		5,078,302	01/1992	Hellenberg			
		5,129,551	07/1992	Gott			
		5,527,853	06/1996	Landy et al.			
		5,672,649	09/1997	Brock et al.			
		5,823,670	10/1998	Rushing et al.			
		5,842,641	12/1998	Mazzalveri			
		5,922,398	07/1999	Hermes et al.			
		6,013,721	01/2000	Schall et al.			
		6,308,499	10/2001	Takada et al.			

**FOREIGN PATENT DOCUMENTS**

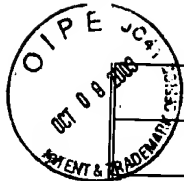
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	CA	935 255	10/1973					
	DE	39 10 472	10/1990					
	DE	197 14 577	10/1998					
	EP	0 614 951	9/1994					
	EP	0 706 543 B1	4/1996					
	EP	1 094 096	4/2001					
	IT	PS98A000005	2/1998					
	IT	PS98A000005	8/1999					
	WO	94/25238	11/1994					
	WO	95/29960	11/1995					
	WO	98/05417	2/1998					
	WO	00/37568	6/2000					
	WO	00/44834	8/2000					
	IE	940666	8/1994					

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

Patton, "Latex Critical Pigment Volume Concentration (LCPVC)", *Paint Flow and Pigment Dispersion*, 1979, p. 193.

Forsius, "Paint Production by Component Mixing", *Faerg Lack Scand.*, 1997, 43(2), 5-6.

Dutheillet, "Integrated Solution to Build Batch Processing Plants for Blending & Formulation Industries", *Chemical Engineering World*, 1997 32(5), 37-44.



		Orcun, et al., "Scheduling of Batch Processes: An Industrial Application in Paint Industry", <i>Computers Chem. Enng.</i> , 1997, 21, S673-S678.
		"Component Mixing - A New Approach to Customized Paint Production", <i>High Technology Finland</i> , 2000, 156-157.
		Helander, "Benefits of delayed product differentiation", <i>Reprinted from PPCJ</i> , 1999.
		Helander, "Impact of Form Postponement on Channel Members' Performance in Paint Business: A Theoretical Approach", <i>LTA</i> , 1999, p. 225-237.
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>
<b>*EXAMINER:</b> Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		